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PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/881,408
Filing Date	June 13, 2001
First Named Inventor	Kie Y. Ahn
Group Art Unit	unknown
Examiner Name	unknown

Total Number of Pages in This Submission

Attorney Docket Number MI22-1534

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard, \$130.00 check, Dec. of Joint Inventors, PTO form 1449 with cited art copies
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Bernard Berman, Reg. No. 37,279; Wells, St. John et al.
Signature	
Date	Aug 28, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 08/28/2001

Typed or printed name: Dawn Pecoraro

Signature:

Date: 8-28-01

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PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL		Complete if Known	
for FY 2000 <small>Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</small>		Application Number	09/881,408
		Filing Date	June 13, 2001
		First Named Inventor	Kie Y. Ahn
		Examiner Name	unknown
		Group / Art Unit	unknown
TOTAL AMOUNT OF PAYMENT (\$)		Attorney Docket No.	MI22-1534
130.00			

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 23-0925 Deposit Account Name: Wells, St. John et al. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>0.00</td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td>0.00</td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td>0.00</td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>0.00</td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td>0.00</td></tr><tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td>0.00</td></tr><tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td>0.00</td></tr><tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td>0.00</td></tr><tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td>0.00</td></tr><tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td>0.00</td></tr><tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td>0.00</td></tr><tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td>0.00</td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td>0.00</td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td>0.00</td></tr><tr><td>141 1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td>0.00</td></tr><tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td>0.00</td></tr><tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td>0.00</td></tr><tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td>0.00</td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td>0.00</td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td>0.00</td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>0.00</td></tr><tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr><tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td>0.00</td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td>0.00</td></tr></tbody></table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	130.00	127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00	139 130	139 130	Non-English specification	0.00	147 2,520	147 2,520	For filing a request for reexamination	0.00	112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00	115 110	215 55	Extension for reply within first month	0.00	116 380	216 190	Extension for reply within second month	0.00	117 870	217 435	Extension for reply within third month	0.00	118 1,360	218 680	Extension for reply within fourth month	0.00	128 1,850	228 925	Extension for reply within fifth month	0.00	119 300	219 150	Notice of Appeal	0.00	120 300	220 150	Filing a brief in support of an appeal	0.00	121 260	221 130	Request for oral hearing	0.00	138 1,510	138 1,510	Petition to institute a public use proceeding	0.00	140 110	240 55	Petition to revive - unavoidable	0.00	141 1,210	241 605	Petition to revive - unintentional	0.00	142 1,210	242 605	Utility issue fee (or reissue)	0.00	143 430	243 215	Design issue fee	0.00	144 580	244 290	Plant issue fee	0.00	122 130	122 130	Petitions to the Commissioner	0.00	123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt	0.00	581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00	146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify) _____			0.00	Other fee (specify) _____			0.00
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Bernard Berman	Registration No. (Attorney/Agent)	37,279
Signature	<i>Bernard Berman</i>	Telephone	509-624-4276
		Date	Aug 28, 2001

WARNING:

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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/881,408
Filing Date June 13, 2001
Inventor..... Kie Y. Ahn et al.
Assignee Micron Technology, Inc.
Group Art Unit unknown
Examiner unknown
Attorney's Docket No. MI22-1534
Title: A Dielectric Layer Forming Method and Devices Formed Therewith

**RESPONSE TO AUGUST 24, 2001 NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

To: Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

From: Bernard Berman (Tel. 509-624-4276; Fax 509-838-3424)
Wells, St. John, Roberts, Gregory & Matkin P.S.
601 W. First Avenue, Suite 1300
Spokane, WA 99201-3828

Sir:

Responsive to the August 24, 2001 Notice To File Missing Parts Of
Nonprovisional Application. Filed herewith is a copy of the Notice to File
Missing Parts along with the Declaration of Joint Inventors for Patent
Application for Kie Y. Ahn.

Respectfully submitted,

Dated: Aug 28, 2001

By: Bernard Berman
Bernard Berman
Reg. No. 37,279



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,408	06/13/2001	Kie Y. Ahn	MI22-1534

Wells, St. John Roberts, Gregory & Matkin, P.S.
Suite 1300
601 W. First Avenue
Spokane, WA 99201



CONFIRMATION NO. 8492

FORMALITIES LETTER



OC000000006471677

Date Mailed: 08/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Kie Y. Ahn
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/05/2001 MAILED 1 00000012 09881408

01 FC:105 130.00 DP